
Guidance for Industry Formal Meetings Between the FDA and Biosimilar Biological Product Sponsors or Applicants

DRAFT GUIDANCE

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**U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)
Center for Biologics Evaluation and Research (CBER)**

**March 2013
Procedural**

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**U.S. Department of Health and Human Services
Food and Drug Administration
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Guidance for Industry¹
Formal Meetings Between the FDA and Biosimilar
Biological Product Sponsors or Applicants

This draft guidance, when finalized, will represent the Food and Drug Administration’s (FDA’s) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

I. INTRODUCTION

This guidance provides recommendations to industry on formal meetings between the Food and Drug Administration (FDA) and biosimilar biological product sponsors or applicants. The Biosimilar User Fee Act of 2012 (BsUFA), enacted as part of the Food and Drug Administration Safety and Innovation Act (FDASIA), amended the Federal Food, Drug, and Cosmetic Act (FD&C Act) to authorize a new user fee program for biosimilar biological products.^{2,3} The FDA has committed to meeting certain performance goals set forth in a letter from the Secretary of Health and Human Services to the Chairman of the Committee on Health, Education, Labor, and Pensions of the Senate and the Chairman of the Committee on Energy and Commerce of the House of Representatives.⁴ The performance goals include meeting management goals for formal meetings that occur between the FDA and sponsors or applicants during the development phase of a biosimilar biological product. The FDA encourages sponsors and applicants to use

¹ This guidance has been prepared by the Center for Drug Evaluation and Research (CDER) in cooperation with the Center for Biologics Evaluation and Research (CBER) at the Food and Drug Administration.

² For the statutory definition of *biosimilar* and *biological product* and definitions of selected terms used in this guidance, see the terminology section of the draft guidance for industry *Scientific Considerations in Demonstrating Biosimilarity to a Reference Product*. (When final, this guidance will represent the FDA’s current thinking on this topic. For the most recent version of a guidance, check the FDA Drugs guidance Web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.) For the statutory definition of *biosimilar biological product application*, see section 744G(4) of the FD&C Act.

³ Sections 401-408 of FDASIA, adding sections 744G, 744H, and 744I to the FD&C Act.

⁴ The BsUFA goals letter, which is titled “Biosimilar Biological Product Authorization Performance Goals and Procedures Fiscal Years 2013 Through 3 2017,” is available on the FDA’s Web site at <http://www.fda.gov/downloads/Drugs/DevelopmentApprovalProcess/HowDrugsareDevelopedandApproved/ApprovalApplications/TherapeuticBiologicApplications/Biosimilars/UCM281991.pdf>.

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31 the meetings described in this guidance to optimize product development and facilitate
32 submission of marketing applications.

33
34 For the purposes of this guidance, *formal meeting* includes any meeting that is requested by a
35 sponsor or applicant following the request procedures provided in this guidance and includes
36 meetings conducted in any format (i.e., face-to-face meeting, teleconference, or
37 videoconference).

38
39 This guidance reflects a unified approach to all formal meetings between sponsors or applicants
40 and the FDA for biosimilar biological product development (BPD) programs. This guidance is
41 intended to assist sponsors or applicants in generating and submitting a meeting request and the
42 associated meeting package to the FDA for biosimilar biological products intended to be
43 submitted under 351(k) of the Public Health Service Act (PHS Act). This guidance does not
44 apply to meetings associated with new drug applications or abbreviated new drug applications
45 under section 505 of the FD&C Act or to biologics license applications (BLAs) under section
46 351(a) of the PHS Act.⁵

47
48 This guidance discusses the principles of good meeting management practices (GMMPs) and
49 describes standardized procedures for requesting, preparing, scheduling, conducting, and
50 documenting such formal meetings.

51
52 FDA's guidance documents, including this guidance, do not establish legally enforceable
53 responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should
54 be viewed only as recommendations, unless specific regulatory or statutory requirements are
55 cited. The use of the word *should* in Agency guidances means that something is suggested or
56 recommended, but not required.

57
58

II. BACKGROUND

59
60
61 The FDA expects review staff to participate in many meetings with biosimilar biological product
62 sponsors or applicants who seek guidance relating to the development and review of biosimilar
63 biological products. Because these meetings often will represent critical points in the regulatory
64 and development process, it is important that there are efficient, consistent procedures for the
65 timely and effective conduct of such meetings. The GMMPs in this guidance are intended to
66 provide consistent procedures that will promote well-managed meetings, and ensure that such
67 meetings are scheduled within a reasonable time, conducted efficiently, and documented
68 appropriately.

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⁵ For information on meetings for new drug applications and 351(a) BLAs, see the guidance for industry *Formal Meetings Between the FDA and Sponsors or Applicants*. We update guidances periodically. To make sure you have the most recent version of a guidance, check the FDA Drugs guidance Web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.

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71 **III. MEETINGS TYPES⁶**

72

73 There are five types of formal meetings that can occur between sponsors or applicants and FDA
74 staff to discuss development of a biosimilar biological product:

75

76 **1. Biosimilar Initial Advisory meeting:** A Biosimilar Initial Advisory meeting is an initial
77 assessment limited to a general discussion regarding whether licensure under section
78 351(k) of the PHS Act may be feasible for a particular product, and, if so, general advice
79 on the expected content of the development program. This meeting type does not include
80 any meeting that involves substantive review of summary data or full study reports.
81 However, preliminary comparative analytical similarity data should be provided with the
82 meeting request to enable the FDA to make a preliminary determination as to whether
83 licensure under section 351(k) of the PHS Act may be feasible for a particular product,
84 and to provide meaningful advice. An overview of the proposed development program
85 also should be provided.

86

87 **2. BPD Type 1 meeting:** A BPD Type 1 meeting is a meeting that is necessary for an
88 otherwise stalled BPD program to proceed. Examples of a BPD Type 1 meeting include:

89

90 • Meetings to discuss clinical holds in which a response to hold issues has been
91 submitted, but the FDA and the sponsor or applicant agree that the development is
92 stalled and a new path forward should be discussed

93

94 • Special protocol assessment meetings that are requested by sponsors or applicants
95 after receipt of FDA evaluation of protocols under the special protocol assessment
96 procedures as described in Section VI of the BsUFA goals letter

97

98 • Meetings to discuss an important safety issue, when such an issue is identified and the
99 FDA and the sponsor or applicant agree that the issue should be discussed

100

101 • Dispute resolution meetings as described in 21 CFR 10.75 and 312.48, and in Section
102 IV of the BsUFA goals letter, and the draft guidance for industry and review staff
103 *Formal Dispute Resolution: Appeals Above the Division Level*⁷

104

105 **3. BPD Type 2 meeting:** A BPD Type 2 meeting is a meeting to discuss a specific issue
106 (e.g., proposed study design or endpoints) or questions where the FDA will provide
107 targeted advice regarding an ongoing BPD program. This meeting type includes
108 substantive review of summary data, but does not include review of full study reports.

109

⁶ The meeting types and goal dates for BPD meetings were developed by the FDA in consultation with public and industry stakeholders as directed by the Biologics Price Competition and Innovation Act of 2009 (BPCI Act). For more information about BsUFA and the fee criteria for BPD meetings, refer to the BsUFA Web page at <http://www.fda.gov/ForIndustry/UserFees/BiosimilarUserFeeActBsUFA/default.htm>.

⁷ When final, this guidance will represent the FDA's current thinking on this topic.

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110 **4. BPD Type 3 meeting:** A BPD Type 3 meeting is an in-depth data review and advice
111 meeting regarding an ongoing BPD program. This meeting type includes substantive
112 review of full study reports, FDA advice regarding the similarity between the proposed
113 biosimilar biological product and the reference product, and FDA advice regarding the
114 need for additional studies, including design and analysis.
115

116 **5. BPD Type 4 meeting:** A BPD Type 4 meeting is a meeting to discuss the format and
117 content of a biosimilar biological product application or supplement to be submitted
118 under section 351(k) of the PHS Act.
119

120 121 **IV. PARTICIPATION IN THE FDA’S BIOSIMILAR BIOLOGICAL PRODUCT** 122 **DEVELOPMENT PROGRAM** 123

124 As stipulated by statute, a sponsor or applicant must pay a biosimilar biological product
125 development fee (BPD fee) to participate in the FDA’s BPD program to receive a BPD Type 1,
126 2, 3, or 4 meeting for a product.⁸ There is no fee for a Biosimilar Initial Advisory meeting. The
127 BPD fee is an annual per-product fee, not a per-meeting or per-review activity fee. There are
128 three types of BPD fees: the initial BPD fee, the annual BPD fee, and the reactivation fee. The
129 initial BPD fee is due on the date a sponsor or applicant submits an investigational new drug
130 application (IND) for an investigation that the FDA determines is intended to support a
131 biosimilar biological product application for a product, or within 5 calendar days after the FDA
132 grants the sponsor’s or applicant’s request for a BPD Type 1, 2, 3, or 4 meeting for that product,
133 whichever occurs first.⁹
134

135 After a sponsor or applicant has paid the initial BPD fee, beginning in the next fiscal year, an
136 annual BPD fee will be assessed for the product until the sponsor or applicant submits a
137 marketing application that is accepted for filing, or discontinues participation in the BPD
138 program for that product.¹⁰ If a sponsor or applicant has discontinued participation in the BPD
139 program for a product and wants to again engage with the FDA on development of the product as
140 a biosimilar biological product, the sponsor must pay a reactivation fee to resume participation in
141 the BPD program for that product.¹¹ The reactivation fee is due on the date the sponsor submits
142 an IND for an investigation that the FDA determines is intended to support a biosimilar
143 biological product application for the product, or within 5 calendar days after the FDA grants the
144 sponsor’s or applicant’s request for a BPD Type 1, 2, 3, or 4 meeting for the product, whichever
145 occurs first.¹²
146

⁸ See section 744H(a)(1)(E) of the FD&C Act.

⁹ See section 744H(a)(1)(A) of the FD&C Act.

¹⁰ See section 744H(a)(1)(B) of the FD&C Act.

¹¹ See section 744H(a)(1)(D) of the FD&C Act.

¹² *Id.*

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147 Section 744H(a)(1)(E) of the FD&C Act establishes the consequences of failure to pay BPD
148 fees. With respect to meetings, if the FDA grants a request for a BPD Type 1, 2, 3, or 4 meeting
149 for a product, and the granting of the meeting request triggers an obligation to pay an initial BPD
150 fee or a reactivation fee for the product, the meeting will be cancelled if the sponsor or applicant
151 fails to pay the fee within 5 calendar days after the meeting is officially granted.¹³ Additionally,
152 if a sponsor or applicant is in arrears with respect to an annual BPD fee for a product, the FDA
153 will deny the sponsor's or applicant's request for a BPD Type 1, 2, 3, or 4 meeting for that
154 product, and cancel any scheduled BPD meetings for that product.¹⁴

155
156

V. MEETING PROCEDURES

158

159 Each meeting type is subject to different procedures, as described below.

160

A. Biosimilar Initial Advisory Meeting

162

163 Biosimilar Initial Advisory meetings should be scheduled to occur within 90 calendar days of
164 FDA receipt of a written meeting request and meeting package. If a sponsor or applicant
165 requests a meeting date that is beyond 90 days from the date of the request receipt, the FDA will
166 work with the sponsor or applicant to determine the earliest agreeable date.

167

B. BPD Type 1 Meeting

169

170 If sponsors or applicants are considering submission of a request for a BPD Type 1 meeting, they
171 should first contact the relevant division in either the Center for Biologics Evaluation and
172 Research (CBER) or the Center for Drug Evaluation and Research (CDER) to discuss the
173 suitability of the request. BPD Type 1 meetings should be scheduled to occur within 30 calendar
174 days of FDA receipt of a written meeting request and meeting package. If a sponsor or applicant
175 requests a meeting date that is beyond 30 days from the date of the request receipt, the FDA will
176 work with the sponsor or applicant to determine the earliest agreeable date.

177

C. BPD Type 2 Meeting

179

180 BPD Type 2 meetings should be scheduled to occur within 75 calendar days of FDA receipt of a
181 written meeting request and meeting package. If a sponsor or applicant requests a meeting date
182 that is beyond 75 days from the date of request receipt, the FDA will work with the sponsor or
183 applicant to determine the earliest agreeable date.

184

D. BPD Type 3 Meeting

186

187 BPD Type 3 meetings should be scheduled to occur within 120 calendar days of FDA receipt of a
188 written meeting request and meeting package. If a sponsor or applicant requests a meeting date

¹³ See section 744H(a)(1)(E) of the FD&C Act.

¹⁴ *Id.*

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189 that is beyond 120 days from the date of the request receipt, the FDA will work with the sponsor
190 or applicant to determine the earliest agreeable date.

191

E. BPD Type 4 Meeting

193

194 BPD Type 4 meetings should be scheduled to occur within 60 calendar days of FDA receipt of a
195 written meeting request and meeting package. If a sponsor or applicant requests a meeting date
196 that is beyond 60 days from the date of the request receipt, the FDA will work with the sponsor
197 or applicant to determine the earliest agreeable date.

198

199

VI. MEETING REQUESTS BY SPONSORS OR APPLICANTS

201

202 To make the most efficient use of FDA resources, before seeking a meeting with CBER or
203 CDER, sponsors or applicants should consider other sources of information applicable to their
204 product development program, such as FDA and International Conference on Harmonisation
205 guidances. Written correspondence to request such a meeting should be submitted to the
206 sponsor's or applicant's application (e.g., IND, BLA) through the controlled document system.¹⁵

207

208 If there is no application, the request should be submitted to either the appropriate CDER
209 division director with a copy sent to the division's chief of project management staff or to the
210 division director of the appropriate product office within CBER. Before submitting any meeting
211 request by fax or email when there is no application, the sponsor or applicant should contact the
212 appropriate product office within CBER, or the appropriate division or the Biosimilars Program
213 staff within CDER, Office of New Drugs, to determine to whom the request should be directed,
214 how the request should be submitted, and the appropriate format for the request, and to arrange
215 for confirmation of receipt of the request. This contact reduces the possibility that faxed or
216 emailed requests will be inadvertently overlooked because of the volume of emails and faxes
217 received daily by FDA staff. Faxed or emailed requests should be sent during official business
218 hours (8:00 a.m. to 4:30 p.m. EST/EDT) Monday through Friday (except Federal government
219 holidays). Processing and receipt may be delayed for requests where confirmation of receipt has
220 not been prearranged.

221

222 The meeting request, regardless of the submission method, should include adequate information
223 for the FDA to assess the potential utility of the meeting and to identify FDA staff necessary to
224 discuss proposed agenda items. The meeting request should include the following information:

225

226 1. Product name.

227

228 2. Application number (if applicable).

229

230 3. Proposed proper name (or proper name if post-licensure).

231

¹⁵ See

<http://www.fda.gov/Drugs/DevelopmentApprovalProcess/FormsSubmissionRequirements/ElectronicSubmissions/default.htm#Addresses>.

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- 232 4. Structure (if applicable).
- 233
- 234 5. Reference product name.
- 235
- 236 6. Proposed indication(s) or context of product development.
- 237
- 238 7. Meeting type being requested (i.e., Biosimilar Initial Advisory meeting, BPD Type 1, 2,
- 239 3, or 4 meeting). The rationale for requesting the meeting type should be included.
- 240
- 241 8. A brief statement of the purpose of the meeting. This statement should include a brief
- 242 background of the issues underlying the agenda. It also can include a brief summary of
- 243 completed or planned studies and clinical trials or data that the sponsor or applicant
- 244 intends to discuss at the meeting, the general nature of the critical questions to be asked,
- 245 and where the meeting fits in overall development plans. Although the statement need
- 246 not provide detailed documentation of trial designs or completed studies and clinical
- 247 trials, it should provide enough information to facilitate understanding of the issues, such
- 248 as a small table that summarizes major results.
- 249
- 250 9. A list of the specific objectives/outcomes the requester expects from the meeting.
- 251
- 252 10. A proposed agenda, including estimated times needed for each agenda item.
- 253
- 254 11. A list of questions, grouped by discipline. Each question should be precise, and there
- 255 should be a brief explanation of the context and purpose for each question.
- 256
- 257 12. A list of all individuals with their titles and affiliations who will attend the requested
- 258 meeting from the sponsor's or applicant's organization and consultants.
- 259
- 260 13. A list of FDA staff, if known, or disciplines, asked to participate in the requested meeting.
- 261
- 262 14. Suggested dates and times (e.g., morning or afternoon) for the meeting that are within or
- 263 beyond the appropriate time frame of the meeting type being requested.
- 264
- 265 15. The proposed format of the meeting (i.e., face-to-face meeting, teleconference, or
- 266 videoconference).
- 267

268 The sponsor or applicant should define in its written meeting request the specific areas of input
269 needed from CBER or CDER. A well-written meeting request that uses the above components
270 as a guide can help the FDA understand and assess the utility and timing of the meeting related
271 to product development or review. Although CBER or CDER will make the final determination
272 as to the meeting type (i.e., Biosimilar Initial Advisory meeting, or BPD Type 1, 2, 3, or 4
273 meeting), the sponsor or applicant should provide its meeting type assessment as it relates to the
274 product's development. The list of sponsor or applicant attendees and the list of requested FDA
275 attendees can be useful in providing or preparing for the input needed at the meeting. However,
276 during the time between the request and the meeting, the projected attendees can change.

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277 Therefore, an updated list of attendees with their titles and affiliations should be provided to the
278 appropriate FDA contact at least 1 week before the meeting.

279
280 The objectives and agenda provide overall context for the meeting topics, but it is the list of
281 questions that is most critical to understanding the kind of information or input needed by the
282 sponsor or applicant and to focus the discussion, should the meeting be granted. Each question
283 should be precise and include a brief explanation of the context and purpose of the question.
284

285

286 **VII. ASSESSING MEETING REQUESTS**

287

288 The meeting request should be accompanied by the meeting package (see section X., Meeting
289 Package Content and Submission, for additional information regarding the content of the
290 meeting package). This ensures that the FDA will have adequate information to assess the
291 potential utility of the meeting and prepare for the meeting. If the meeting package is not
292 submitted to the appropriate division with the meeting request, the meeting request will be
293 considered incomplete and the FDA generally will deny the meeting. The CBER or CDER
294 division director or designee who receives a meeting request will determine whether to hold the
295 meeting and will respond to the sponsor or applicant by granting or denying the meeting within
296 14 calendar days of receipt of the request and meeting package for a BPD Type 1 meeting, and
297 within 21 calendar days of receipt of the request and meeting package for a Biosimilar Initial
298 Advisory meeting or a BPD Type 2, 3, or 4 meeting.
299

300

300 **A. Meeting Denied**

301

302 If a meeting request is denied, notification to the sponsor or applicant will include an explanation
303 of the reason for the denial. Denials will be based on a substantive reason, not merely on the
304 absence of a minor element of the meeting request or a minor element of the meeting package.
305 For example, as noted in section IV., Participation in the FDA's Biosimilar Biological Product
306 Development Program, the FDA will deny a BPD Type 1, 2, 3, or 4 meeting if the sponsor or
307 applicant is in arrears with respect to an annual BPD fee for that product.¹⁶ Additionally, a
308 meeting can be denied because it is premature for the product development stage or is clearly
309 unnecessary. However, if a sponsor or applicant is not in arrears with respect to an annual BPD
310 fee for a product, requests for BPD Type 2, 3, and 4 meetings for that product will be honored
311 except in the most unusual circumstances.
312

313

314 Following denial of a meeting, a subsequent request to schedule the meeting will be considered
315 as a new request (i.e., a request that merits a new set of time frames as described in section V.,
316 Meeting Procedures).

317

317 **B. Meeting Granted**

318

319 If a meeting request is granted, CBER or CDER will notify the sponsor or applicant in writing of
320 the decision and schedule the meeting by determining the meeting type, date, time, length, place,
321 format (i.e., a scheduled face-to-face meeting, teleconference, or videoconference), and expected

¹⁶ See section 744H(a)(1)(E) of the FD&C Act.

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322 FDA participants. All of the scheduling information will be forwarded to the sponsor or
323 applicant as soon as possible following the granting notification, and within the specified BsUFA
324 timelines.

325
326 The Center (i.e., CBER or CDER) may determine that a different meeting type is more
327 appropriate and it may grant a meeting of a different type than requested (e.g., if a sponsor or
328 applicant requests a Biosimilar Initial Advisory meeting for a product, but the Center determines
329 that a BPD Type 3 meeting is more appropriate, the FDA may grant a BPD Type 3 meeting
330 instead of a Biosimilar Initial Advisory meeting).

331
332 As described in section IV., Participation in the FDA’s Biosimilar Biological Product
333 Development Program, if the FDA grants a request for a BPD Type 1, 2, 3, or 4 meeting for a
334 product, the sponsor or applicant may be required to pay an initial BPD fee or a reactivation fee
335 for the product within 5 calendar days.¹⁷

336

337

VIII. RESCHEDULING MEETINGS

338

339
340 Occasionally, circumstances arise that necessitate the rescheduling of a meeting either by the
341 FDA or the sponsor or applicant. If a meeting needs to be rescheduled, it should be rescheduled
342 as soon as possible after the original date. A new meeting request should not be submitted and
343 new time frames should not be set for rescheduled meetings. Sponsors or applicants and the
344 FDA should take reasonable steps together to avoid rescheduling meetings. For example, if an
345 attendee becomes unavailable, a substitute can be identified, or comments on the topic that the
346 attendee would have addressed can be forwarded to the sponsor or applicant following the
347 meeting. It will be at the discretion of the appropriate division whether the meeting should be
348 rescheduled depending on the specific circumstances.

349

350 The following situations are examples of when a meeting can be rescheduled. This list includes
351 representative examples and is not intended to be an exhaustive list.

352

353 • The review team determines that additional information is needed from the sponsor or
354 applicant for the FDA to address the sponsor’s or applicant’s questions or other important
355 issues for discussion, and it is possible to identify the additional information needed and
356 arrange for its submission in a timely manner.

357

358 • Essential attendees are no longer available for the scheduled date and time because of an
359 emergency.

360

361 • After the meeting package is submitted but before preliminary responses are sent by the
362 FDA, the sponsor or applicant sends CBER or CDER additional questions or data that are
363 intended for discussion at the meeting and require additional review time.

364

¹⁷ See section 744H(a)(1)(A) and (D) of the FD&C Act.

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- 365 • It is determined that attendance by additional FDA organizations not originally
366 anticipated or requested by the sponsor or applicant, such as the Office of the Chief
367 Counsel, are critical and their availability precludes holding the meeting on the original
368 date.

369
370

IX. CANCELLING MEETINGS

372

373 When the FDA grants a request for a BPD Type 1, 2, 3, or 4 meeting for a product, the sponsor
374 or applicant may be required to pay an initial BPD fee or a reactivation fee for the product within
375 5 calendar days.¹⁸ If the sponsor or applicant fails to pay the fee within the required time frame,
376 the meeting will be cancelled.¹⁹ If the sponsor or applicant pays the initial BPD fee or
377 reactivation fee after the meeting has been cancelled because of nonpayment, the time frame
378 described in section V., Meeting Procedures, for the new meeting will be calculated from the
379 date on which the FDA received the payment, rather than the date on which the sponsor or
380 applicant originally submitted the meeting request.

381

382 Occasionally, other circumstances arise that necessitate the cancelling of a meeting. If a meeting
383 is cancelled for reasons other than nonpayment of a required initial BPD fee or reactivation fee,
384 the FDA will consider a subsequent request to schedule a meeting to be a new request (i.e., a
385 request that merits a new set of time frames as described in sections V., Meeting Procedures, and
386 VII., Assessing Meeting Requests). Both sponsors or applicants and the FDA should take
387 reasonable steps to avoid cancelling meetings (unless the meeting is no longer necessary). It will
388 be at the discretion of the appropriate division whether the meeting should be cancelled
389 depending on the specific circumstances.

390

391 The following situations are examples of when a meeting can be cancelled. This list includes
392 representative examples and is not intended to be an exhaustive list.

393

- 394 • If the FDA grants the sponsor's or applicant's meeting request, but the sponsor or
395 applicant subsequently fails to pay a required initial BPD fee, annual BPD fee, or
396 reactivation fee within the time frame required under section 744H(a)(1)(A), (B), or (D)
397 of the FD&C Act, as applicable.
- 398
- 399 • The sponsor or applicant determines that the written premeeting responses to its questions
400 are sufficient for its needs and additional discussion is not necessary (see section XII.,
401 Procedures for the Conduct of Meetings). In this case, the sponsor or applicant should
402 contact the CBER or CDER regulatory project manager to request cancellation of the
403 meeting. The division will consider whether it agrees that the meeting should be
404 cancelled. Some meetings can be valuable because of the discussion they generate and
405 the opportunity for the division to ask about relevant matters, even if the premeeting
406 communications seem sufficient to answer the sponsor's or applicant's questions. If the
407 division agrees with the sponsor or applicant that the meeting can be cancelled, the

¹⁸ See section 744H(a)(1)(A) and (D) of the FD&C Act.

¹⁹ See section 744H(a)(1)(E) of the FD&C Act.

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408 division will document the reason for cancellation and the premeeting communication
409 will represent the final responses and the official record of the meeting.

410

- 411 • The FDA determines that the meeting package is grossly inadequate. Meetings are
412 scheduled on the condition that appropriate information to support the discussion has
413 been submitted. Adequate planning by the sponsor or applicant should avoid this
414 problem.

415

416

X. MEETING PACKAGE CONTENT AND SUBMISSION

417

418
419 Premeeting preparation is critical for achieving a productive discussion or exchange of
420 information. Preparing the meeting package should help the sponsor or applicant focus on
421 describing its principal areas of interest. The meeting package should provide information
422 relevant to the discussion topics and enable the FDA to prepare adequately for the meeting.

423

A. Timing of Submission

424

425
426 As discussed in section VII., Assessing Meeting Requests, if the meeting package is not
427 submitted with the meeting request to the appropriate division, the meeting request will be
428 considered incomplete and the FDA generally will deny the meeting.

429

B. Where and How Many Copies of Meeting Packages to Send

430

431
432 An archival copy of the meeting package should be submitted to the relevant application (e.g.,
433 IND or BLA); if there is no established application (e.g., for a pre-IND meeting), the responsible
434 point of contact in the division will provide instructions on how to submit the meeting packages.
435 The FDA strongly encourages sponsors or applicants to submit the archival meeting package
436 electronically according to the electronic submission formatting recommendations (see the draft
437 guidance for industry *Providing Regulatory Submissions in Electronic Format — General*
438 *Considerations*).²⁰

439

440 The number of copies of a meeting package will vary based on the meeting. The responsible
441 point of contact in the division will advise on the number of copies needed for the meeting
442 attendees. To facilitate the meeting process, the FDA strongly suggests that copies of meeting
443 packages provided in electronic format also be provided in paper.

444

C. Meeting Package Content

445

446
447 The meeting package should provide information relevant to the product, development stage, and
448 meeting type requested (see section III., Meeting Types), in addition to any supplementary
449 information needed to develop responses to issues raised by the sponsor or applicant or division.
450 The meeting package should contain sufficient detail to meet the intended meeting objectives.
451 For example, inclusion of raw data rather than the derived conclusions may be appropriate in

²⁰ When final, this guidance will represent the FDA's current thinking on this topic.

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452 some situations. Similarly, merely describing a result as *significant* does not provide the division
453 with enough information to give good advice or identify important problems the sponsor or
454 applicant may have missed. FDA guidances identify and address many issues related to
455 biosimilar biological product development and should be considered in planning, developing,
456 and providing information needed to support a meeting with the FDA.²¹ If a product
457 development plan deviates from current guidances, or from current practices, the deviation
458 should be recognized and explained. Known or expected difficult design and evidence issues
459 should be raised for discussion (e.g., selection of study populations, doses, or endpoints different
460 from those studied for the reference product’s licensure; extrapolation of indications).

461
462 To facilitate FDA review, the meeting package content should be organized according to the
463 proposed agenda. The meeting package should be a sequentially paginated document (individual
464 sections can be numbered separately, as long as there is an overall pagination covering the whole
465 submission) with a table of contents, appropriate indices, appendices, cross references, and tabs
466 differentiating sections. Meeting packages generally should include the following information:

- 467
468 1. Product name and application number (if applicable).
- 469
470 2. Proposed proper name (or proper name if post-licensure).
- 471
472 3. Structure (if applicable).
- 473
474 4. Reference product name.
- 475
476 5. Proposed indication(s) or context of product development.
- 477
478 6. Dosage form, route of administration, dosing regimen (frequency and duration), and
479 presentation(s).
- 480
481 7. A list of sponsor or applicant attendees, affiliations, and titles.
- 482
483 8. A background section that includes the following:
 - 484
485 a. A brief history of the development program.
 - 486
487 b. The status of product development (e.g., chemistry, manufacturing, and controls;
488 nonclinical; and clinical, including any development outside the United States, as
489 applicable).
- 490
491 9. A brief statement summarizing the purpose of the meeting.
- 492
493 10. A proposed agenda.

²¹ See the draft guidances for industry *Scientific Considerations in Demonstrating Biosimilarity to a Reference Product*, *Quality Considerations in Demonstrating Biosimilarity to a Reference Protein Product*, and *Biosimilars: Questions and Answers Regarding Implementation of the Biologics Price Competition and Innovation Act of 2009*. When final, these guidances will represent the FDA’s current thinking on these topics.

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- 494
495 11. A list of questions for discussion grouped by discipline and with a brief summary for
496 each question to explain the need or context for the question.
497
498 12. Data to support discussion organized by discipline and question. The level of detail of
499 the data should be appropriate to the meeting type requested and the product development
500 stage.

501
502

XI. PREMEETINGS AND COMMUNICATIONS WITH SPONSORS OR APPLICANTS

503
504
505
506 CBER and CDER hold internal meetings, including meeting with the Biosimilar Review
507 Committee (BRC),²² to discuss meeting packages and to gain internal agreement on the
508 preliminary responses to a sponsor's or applicant's questions. The FDA may communicate these
509 preliminary responses to the sponsor or applicant. Communications before the meeting between
510 sponsors or applicants and the FDA, including preliminary responses, can serve as a foundation
511 for discussion or can be the final meeting responses. A preliminary response should not be
512 construed as *final* unless there is agreement between the sponsor or applicant and the FDA that
513 the response constitutes the FDA's final response and additional discussion is not necessary.
514 Preliminary responses communicated by the FDA are not intended to generate the submission of
515 a new meeting agenda and new questions. If, however, a sponsor or applicant provides new data
516 or a revised or new proposal, the FDA may not be able to provide comments on the new data or
517 it may generate the need for the submission of a new meeting request by the sponsor or
518 applicant.

519
520

XII. PROCEDURES FOR THE CONDUCT OF MEETINGS

521
522
523 Meetings will be chaired by an FDA staff member and will begin with introductions and a
524 statement of the agenda. Presentations by sponsors or applicants generally are not needed
525 because the information necessary for review and discussion should be part of the meeting
526 package. If a sponsor or applicant plans to make a presentation, the presentation should be
527 discussed ahead of time with the CBER or CDER point of contact to determine if a presentation
528 is warranted and to ensure that CBER or CDER has the presentation materials ahead of the
529 meeting if possible. All presentations should be kept brief to maximize the time available for
530 discussion.

531
532 The length of the meeting will not be increased to accommodate a presentation. If a presentation
533 contains more than a small amount of new data that are distinct from clarifications or
534 explanations of previous data and that were not included in the original meeting package
535 submitted to CBER or CDER for review, FDA staff may not be able to provide comments on the
536 new data.
537

²² For more information about the BRC, refer to the Web page on implementation of the BPCI Act at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/ucm215089.htm>.

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538 Before the end of the meeting, FDA attendees and the sponsor or applicant attendees should
539 summarize the important discussion points, agreements, clarifications, and action items.
540 Generally, the sponsor or applicant will be asked to present the summary to ensure that there is
541 mutual understanding of meeting outcomes and actions. FDA staff can add or further clarify any
542 important points not covered in the summary and these items can be added to the meeting
543 minutes. The summary can be done at the end of the meeting or after the discussion of each
544 question.

545
546

XIII. DOCUMENTATION OF MEETINGS

548

549 Documentation of meeting outcomes, agreements and disagreements, issues for further
550 discussion, and action items is critical to ensuring that this information is preserved for meeting
551 attendees and future reference. FDA minutes are the official record of the meeting. The official,
552 finalized minutes will be issued to all FDA attendees (with copies to appropriate files) and to the
553 sponsor or applicant within 30 days of the meeting.

554
555

XIV. RESOLUTION OF DISPUTE ABOUT MINUTES

557

558 This section refers to disputes about the accuracy and sufficiency of the minutes, not to whether
559 the positions taken by the FDA are the correct ones. The latter is subject to the standard appeal
560 procedures (21 CFR 10.75 and 21 CFR 312.48).

561

562 A sponsor or applicant who objects to the accuracy of the minutes or who needs additional
563 clarification of the meeting minutes issued by the FDA should contact the assigned FDA point of
564 contact. If a sponsor or applicant needs to discuss additional issues that were not addressed at
565 the meeting, it should submit a correspondence or a new meeting request.

566

567 If, after following up as described above, there are still significant differences in understanding
568 regarding the content of the official meeting minutes, the sponsor or applicant should notify the
569 FDA in writing of specific disagreements. The sponsor or applicant should submit the
570 correspondence to its application or, if there is no application, forward a letter to the division
571 director of the responsible division, with a copy to the point of contact describing the concerns.

572

573 The sponsor's or applicant's concerns will be taken under consideration by the division and the
574 office director if the office director was present at the meeting. If the minutes are deemed an
575 accurate reflection of the meeting discussion, the point of contact will convey this decision to the
576 sponsor or applicant and the minutes will stand as the official documentation of the meeting. If
577 after discussions with the sponsor or applicant the FDA deems it necessary to effect a change to
578 the official minutes, the changes will be documented in an addendum to the official minutes.
579 The addendum will also document any continued sponsor or applicant objections.

580