

# ANTI-CORRUPTION RISK MATRIX OVERVIEW

Cited by the *Financial Times* in its 2013 “Innovative Lawyers” report, Ropes & Gray’s web-based econometric Risk Matrix enables clients to evaluate and visualize corruption risk throughout their international operations.

The Risk Matrix uses a web-based questionnaire that contains a series of questions designed to assess corruption risk within a client’s international operations, based on factors considered in recent U.S. Department of Justice and SEC enforcement actions and investigations.

Upon completion of the questionnaire, a client’s answers are processed through a proprietary risk scoring algorithm designed by Ropes & Gray’s anti-corruption team. The scored results are then graphed

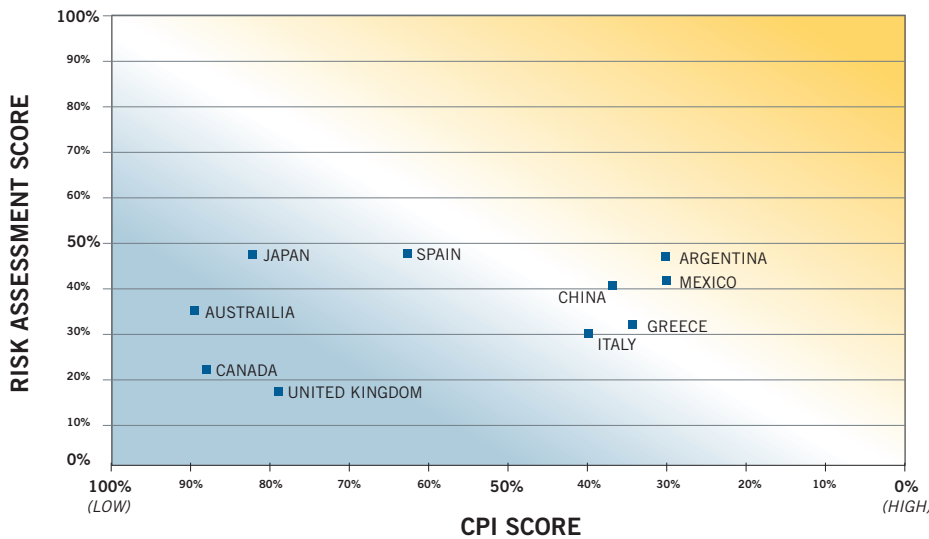
to create a visual model illustrating risk areas in a client’s international operations by both geography and business category—information that Ropes & Gray uses to provide the client with a tailored, cost-effective strategy for focusing compliance resources and mitigating global corruption risk.

To learn more about Ropes & Gray’s Risk Matrix, please contact:



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## Anti-Corruption Risk Profile



### Risk Assessment Factors

1. Employees
2. Policies, Procedures and Training
3. Petty Cash and Expenses
4. Direct Government Sales and Promotion
5. Third Party Distributions, Sales Agents and Marketing
6. Third Party Consultants
7. Registration, Permits and Licenses
8. Customs and Logistics
9. Donations, Contributions, Grants and Scholarships
10. Investments