### **COMPLIANCE & INVESTIGATIONS**

## ASIA LIFE SCIENCES



# ROPES & GRAY

#### **COMPLIANCE & INVESTIGATIONS ASIA LIFE SCIENCES**

**OUR AWARD-WINNING** initial investigative and international risk attorneys have worked extensively with life sciences and health care clients doing business in Asia. We have an in-depth understanding of the regulatory and business environment in which these clients operate, enabling us to investigate the relevant issues and risks in Asia. Our on-the-ground team remains at the cutting edge of emerging enforcement and regulatory developments, giving us the perspective necessary to provide highly practical, real-time advice.

#### WHAT WE DO

In today's ever-increasing global enforcement and political climate, it is critical that organizations internationally are aware of their cross-border exposure and international risks. Our Asia-based team, working in collaboration with colleagues across the firm as needed, regularly advises and assists clients with respect to:

- Internal investigations involving potential violations of United States and foreign laws and regulations through international operations
- Defense of government investigations involving alleged FCPA or U.K. Bribery Act violations
- Analysis of international risks involved in multinational transactions and joint ventures
- Establishment and implementation of global anti-corruption compliance programs

#### **OUR TEAM**

Ropes & Gray's top-tier government enforcement and international risks team has more than 100 legal professionals that are fluent in Mandarin Chinese, Japanese and Korean. Our attorneys have blanketed the world handling anticorruption matters, with all Asia-based partners ranked as leaders in their field by Chambers and The Legal 500.

#### **MARKET PERCEPTION**

- Chambers Asia-Pacific 2015-2019 Recognized as a leading law firm in the Asia-Pacific region for anti-corruption/ investigations, antitrust, life sciences,
  - corporate/M&A, private equity, intellectual property, real estate, restructuring and funds, with multiple attorneys ranked as leaders.
- The Legal 500 Asia-Pacific 2015–2019 Ranked as a leading firm for disputes and anticorruption in China and Hong Kong and antitrust & competition in Japan.
- Global Investigations Review 2017–2018 Named a Top 30 firm for corporate investigations.
- China Business Law 2015–2017 Named "Compliance and Anti-Corruption Firm of the Year."
- Global Investigations Review "40 under 40" 2017

One partner recognized on this list of the most influential individuals in international investigations.

■ Financial Times U.S. Innovative Lawyers Report 2013

Recognized as one of the top firms for innovation for the web-based Risk Matrix, which helps clients evaluate and visualize corruption risk throughout their international operations.

#### WIDE-RANGING KNOWLEDGE

Life sciences clients benefit from Ropes & Gray's deep subject matter knowledge in a wide range of key areas.



#### **OUR TRACK RECORD**

#### LIFE SCIENCES AND HEALTH CARE CLIENTS

- PROMINENT U.S. MEDICAL RESEARCH INSTITUTIONS AND ORGANIZATIONS on internal investigations regarding foreign research funding and support, and potential foreign government interference into biomedical research projects.
- A U.S.-BASED GLOBAL PUBLIC RESEARCH, DEVELOPER AND MANUFACTURER in an internal review of the company's operations in China. This included a detailed assessment of potential corruption risks and policy and procedural weaknesses.
- WORLD-LEADING PHARMACEUTICAL AND MEDICAL DEVICE MANUFACTURERS in a wide range of compliance matters and internal investigations in China. Our work has included handling investigations arising from whistle-blower complaints; escalations from audits; benchmarking and conducting audits of third parties; and recommending remediation and other steps to minimize risk.
- A GLOBAL PHARMACEUTICAL COMPANY in handling all international investigations and compliance work. We have also conducted multiple proactive compliance risk assessments for the company's operating subsidiaries in China, Japan, and India on anti-corruption, clinical trial, and research compliance issues.

- A LARGE MEDICAL DEVICE MANUFACTURER in connection with the DOJ and SEC's industry-wide FCPA investigation into the medical device industry. After developing a revamped compliance program for the company, we secured a deferred prosecution agreement with the DOJ and a consent agreement with the SEC covering issues in several countries, including China.
- THE GLOBAL LEADER in the science of heart valves and hemodynamic monitoring on numerous compliance matters and investigations in China.
- A GLOBAL BLOOD TECHNOLOGY COMPANY in an internal FCPA investigation related to allegations made against its India-based employees.
- A LEADING ANALYTICAL LABORATORY INSTRUMENT AND SOFTWARE COMPANY in conducting an investigation into whistleblower allegations of corruption, as well as general compliance advice and a help desk service for local anti-corruption questions in China and Asia.

"Through our interviews with a number of competing firms, the white collar and government investigation crew was regularly mentioned as one of the better teams operating in the market."

#### **ASIA TEAM**



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